

SEP 22 2006

Attorney Docket No. 05014/LH

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant : Kaoru SOMEYA
Serial No. : 10/521,618
Filed : January 14, 2005
For : RADIO WAVE RECEPTION
DEVICE AND RADIO WAVE
CLOCK
Customer No.: 01933
Art Unit : 2681
Examiner : Not Yet Assigned
Confirm. No.: 2629

CERTIFICATE OF FACSIMILE
TRANSMISSION
TO NO. 1-571-273-8300

TOTAL PAGES: 59

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1450, Alexandria, Virginia 22313-1450
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Diane J. Hegstrom
Diane J. Hegstrom

Dated: September 22, 2006

In the event that this Paper is late filed,
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credit card payment, authorization to
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fee required in connection with this
Paper to Account No. 06-1378.

**INFORMATION DISCLOSURE STATEMENT
WITH STATEMENT UNDER 37 CFR 1.97(e)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R :

Submitted herewith are the following:

- (1) Copy of a Japanese Office Action dated July 11, 2006
(and English translation thereof) issued in counter-
part Japanese Patent Application No. 2002-233512, the
priority of which is claimed.
- (2) Copy of a Japanese Office Action dated July 11, 2006
(and English translation thereof) issued in counter-
part Japanese Patent Application No. 2002-245460, the
priority of which is also claimed.
- (3) Copies of cited publications (except U.S. patents and
patent publications); and
- (4) Form PTO/SB/08A.

09/25/2006 MBINAS 00000012 10521618
01 FC:1006

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English translations of said Japanese Office Actions are
provided, thereby satisfying the requirements for a concise

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explanation of relevance for any non-English language publications cited therein (MPEP 609.04(a) III).

English language Abstracts are provided for each of the cited documents.

STATEMENT UNDER 37 CFR 1.97(e) (1)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from foreign patent offices in counterpart foreign applications not more than three months prior to the filing of the present Information Disclosure Statement. Said Communications bear a mailing date of July 11, 2006. Therefore, the filing of this Information Disclosure Statement is timely under the provisions of 37 CFR 1.97(e) and does not require a fee.

It is requested that an initialed copy of the Form PTO/SB/08A be returned to indicate that the publications listed therein have been considered and made of record.

Respectfully submitted,

Leonard Holtz, Esq.
Reg. No. 22,974

Encls.

Dated: September 22, 2006

FRISHAUF HOLTZ GOODMAN & CHICK, P.C.
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Fax No. (212) 319-5101
LH:djh

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PTO/SB/08A (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number		10/521,618		
				Filing Date		January 14, 2005		
				First Named Inventor		Kaoru SOMEYA		
				Group Art Unit		2681		
				Examiner Name		Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number		05014/LH		
U. S. PATENT DOCUMENTS								
Exam. Inits ¹	Cite No ²	Document Number	Kind Code ³	Name of Patentee or Applicant		Publication Date MM-DD-YYYY	Relevant Portion	
		6,370,365	B1	CALLAWAY, Jr., et al		04-09-2002		
FOREIGN PATENT DOCUMENTS								
Exam Inits ¹	Cite No ²	Offc ³	Document Number ⁴	Kind Code ⁵	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T ⁶
		JP	05-300044	A	NEC CORP.	11-12-1993		x
		JP	06-152665	A	MATSUSHITA ELECTRIC IND CO LTD	05-31-1994		x
		JP	10-200488	A	MITSUBISHI ELECTRIC CORP	07-31-1998		x
		JP	11-088219	A	MATSUSHITA ELECTRIC IND CO LTD.	03-30-1999		x
			x Includes English language Abstract					
Examiner Signature					Date Considered			

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Place a check here if English translation is attached.

DATE MAILED: September 22, 2006

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Attorney Docket No. 04363/LH

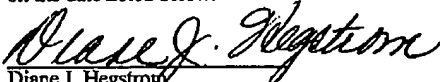
**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): Fumio KIDA et al
Serial No. : 10/866,154
Filed : June 10, 2004
For : CHIP-TYPE SOLID
ELECTROLYTIC CAPACITOR
AND METHOD OF PRODUCING
THE SAME
Customer No.: 01933
Art Unit : 2823
Examiner : Thanh V. Pham
Confirm. No.: 3296

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Diane J. Hegstrom

Dated: September 22, 2006

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authorization to charge the extension fee, or any
other fee required in connection with this Paper to
Account No. 06-1378.

RESUBMISSION OF INFORMATION
DISCLOSURE STATEMENT
UNDER 37 CFR 1.97(d) WITH STATEMENT
UNDER 37 CFR 1.97(e) AND FEE (\$1.17(p))

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P.O. Box 1450
Alexandria, VA 22313-1450

S I R :

Resubmitted herewith is the attached redated Information
Disclosure Statement (17 pages), which was previously filed in
the USPTO on September 18, 2006, along with a credit card
payment Form 2038 in the amount of \$180.00. These documents
were originally submitted via facsimile on September 18, 2006.

Please see the attached REPLY FACSIMILE TRANSMISSION sheets
indicating that pages 1-3 including the credit card payment form
authorizing a fee of \$180.00 had reached the USPTO.

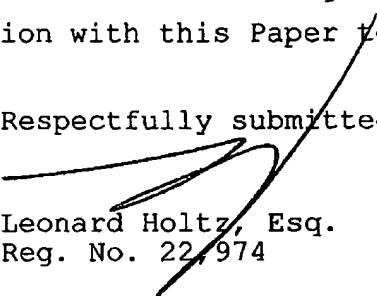
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We checked the PAIR system on September 21, 2006, and some of the pages of the IDS filed September 18, 2006 appear to be missing. Therefore, we are resubmitting the complete IDS.

To the extent not tendered by the previous credit card payment of September 18, 2006, authorization is given to charge any additional fees in connection with this Paper to Account No. 06-1378.

Respectfully submitted,



Leonard Holtz, Esq.
Reg. No. 22,974

Encls.

Dated: September 22, 2006
FRISHAUF HOLTZ GOODMAN & CHICK, P.C.
220 FIFTH AVENUE
NEW YORK, N.Y. 10001-7708
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Attorney Docket No. 04363/JH

IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE

Applicant(s): Fumio KIDA et al

Serial No. : 10/866,194

Filed : June 10, 2004

For : CHIP-TYPE SOLID
ELECTROLYTIC CAPACITOR
AND METHOD OF PRODUCING
THE SAME

Customer No.: 01933

Art Unit : 2023

Examiner : Thanh V. Bham

Confirm. No.: 3296

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97(d) WITH STATEMENT
UNDER 37 CFR 1.97(e) AND FEE (\$1.17)(g)

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S I R :

Submitted herewith are the following:

- (1) Copy of a Korean Office Action (and English translation thereof) dated July 24, 2006, issued in a counterpart Korean application;
- (2) Copy of the cited publication;
- (3) Form PTO/SB/08A; and
- (4) Form 2038 to cover the USPTO fee of \$180.00 as set forth under 37 CFR 1.17(p) for filing an IDS at this stage of prosecution (after allowance and before payment of the Issue Fee).

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TOTAL PAGES: 17

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David S. Heston
David S. Heston

Dated: September 18, 2006

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PAGE 01 * RCVD AT 9/22/2006 12:33:39 PM [Eastern Daylight Time] * SVR:USPTO-EFAXF-2/11 * DNIS:2738300 * CSID:+1 212 319 5101 * DURATION (mm-ss):38-22



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발송번호: 9-5-2003-04178520 수신: 서울세 중구 서소문동 41-3, 대관광명빌딩
발송일자: 2006.07.24 3층(남양도남국지적지정사무소)
발송일자: 2006.07.24 남양도 100-013

복 히 청 COPY
의견제출통지서

출 원 인 명 칭 연이씨 도원 가부시제가이시 외 1명 (출원인국도: 51966082400)
주 소 일본 도쿄도 미나미구 신다에서 다이하쿠쿠 고리야마 8층에 7-1
대 리 인 명 칭 남양도
주 소 서울세 중구 서소문동 41-3, 대관광명빌딩
3층(남양도남국지적지정사무소)

출 원 비 호 10-2004-0044028
발 명 의 명 칭 복합 고체 전해질 커패시터 및 그 제조 방법

이 출원에 대한 심사결과 아래와 같은 기판이유가 있어 특허법 제42조의 규정에 의함여
이를 유지하오나 의견이 있거나 보정할 권유하는 상기 제42조제1항 제1호(특허법
시행규칙 별지 제25호의2제1호) 또는/및 보정시(특허법시행규칙 별지 제15호제1호)에
주사가 필요합니다. (상기 제42조제1항 제1호에 대한 대외 1층 문헌의 인용을 설명할 수 있으며, 이
신청에 대하여 별도의 의견제출통지서는 하지 않습니다.)

[이유]
이 출원의 특허청구범위 전항에 기재된 명단은 그 출원전에 아 설명이 속하는 기술분야에서
통상의 지식을 가진 자가 이전에 지각한 것에 의하여 용이하게 할당할 수 있는 것으로서 특
허법 제42조제2항의 규정에 의함여 특허를 받을 수 없습니다.

이 출원을 특허청구범위 제4항의 기재가 아래와 지각한 것과 같이 대비하여 특허법 제42조
제4항제2호의 규정에 의함 요건을 충족하지 못하므로 특허를 받을 수 없습니다.

[이리]
1. 본원발명은 복합 고체 전해질 커패시터 및 그 제조방법에 관한 것으로, 캐소드와 캐소드
단지가 형성되는 캐소드 단지 부분에 프루 층이나, 찾아코팅 제막하여 전압강도를 증가시키
는 것을 주요 특징으로 하고 있으나, 이러한 것은 전부터 인정받은 한국특허 제 0191759
에서 한도한 한도 제1항의 발명부 시험이 가이드 프루와 발명부의 원거 출고 구비하고, 출
전제출 원거 등에 종관하여 종관적으로서 일관성을 갖는 것은 공지와 기술을 단순 인용한
것에 불과한 것이며, 이러한 공지와 기술을 단순 인용하는 것은 이 분야에서 통상의 지식을
가진 자라면 발명발견으로부터 용이하게 발명발견을 할당할 수 있는 것임으로.

2. 청구항5에는 특정한 용어인 "일/또는" 을 사용하고 있으며, 청구항7은 "일층, 사각
형 또는 다각형" 을 선택적으로 사용하고 있지 않습니다.

- 1 -

PAGE 10 * ASVD AT 01/01/2006 12:33:39 PM [Eastern Daylight Time] * SVR:USPTO-EFXXRF-2/11 * DNIS:2738300 * CSID:+1 212 319 5101 * DURATION (mm-ss):38-22

Attorney Docket No. 04363/LH**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): Fumio KIDA et al
Serial No. : 10/866,154
Filed : June 10, 2004
For : CHIP-TYPE SOLID
ELECTROLYTIC CAPACITOR
AND METHOD OF PRODUCING
THE SAME
Customer No.: 01933
Art Unit : 2823
Examiner : Thanh V. Pham
Confirm. No.: 3296

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97(d) WITH STATEMENT
UNDER 37 CFR 1.97(e) AND FEE (\$1.17(p))**

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Alexandria, VA 22313-1450

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Application No. 10/866,154
Response to Office Action

Customer No. 01933

Authorization is given to charge any additional fees which may be required, or to credit any overpayment, to Account No. 06-1378.

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Dated: September 22, 2006
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220 FIFTH AVENUE
NEW YORK, N.Y. 10001-7708
Tel. No. (212) 319-4900
Fax No. (212) 319-5101
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PTO/SB/08A (08-00)

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Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number		10/866,154		
				Filing Date		June 10, 2004		
				First Named Inventor		Fumio KIDA		
				Group Art Unit		2823		
				Examiner Name		Thanh V. PHAM		
Sheet	1	of	1	Attorney Docket Number		04363/LH		
U.S. PATENT DOCUMENTS								
Exam. Inits ¹	Cite No ²	Document Number		Kind Code ³	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	
FOREIGN PATENT DOCUMENTS								
Exam. Inits ¹	Cite No ²	Offc ³	Document Number ⁴	Kind Code ⁵	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T ⁶
		KR	10-0191759		TOSHIBA CORPORATION	06-15-1999		
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¹ Unique citation designation number. ² See kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Place a check here if English translation is attached.

DATE MAILED: **September 22, 2006**